

## OPERATOR FORMALISM FOR CHERN-SIMONS THEORIES

J. M. F. LABASTIDA AND A. V. RAMALLO

*Theory Division, CERN  
CH-1211 Geneva 23, Switzerland*

## ABSTRACT

The operator formalism for Chern-Simons theories with gauge group  $G$  and parameter  $k$  ( $G = U(1), SU(2)$ ) on an arbitrary oriented compact three-dimensional manifold is constructed. The states of the Hilbert space are obtained in a wave-functional representation which corresponds to a generalized form of the exponential of a Wess-Zumino-Witten action. It is shown explicitly that the states of a basis of the Hilbert space are in one-to-one correspondence with the characters of a Wess-Zumino-Witten model with gauge group  $G$  at level  $k$  and have their same properties under modular transformations. In addition, it is also shown that the Wilson line operators with gauge field in some distinguished representations act as creation operators in the Hilbert space and verify the fusion algebra of the corresponding conformal field theory.

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*1. Introduction.* Recently, Witten [1] has shown that the Hilbert space of states of two-dimensional topological field theories and conformal field theories in two dimensions can be obtained from the Hilbert space of a simply connected compact Lie group. The Hilbert space obtained upon quantization of a surface can be interpreted as the space of characters of a two-dimensional conformal field theory. This relation has been made somehow more precise by extending it to any compact Lie group. This construction has been presented in [3] for the case of the construction of the Hilbert space is in terms of the characters of a two-dimensional conformal field theory. This analysis, as well as the ones recently obtained by the quantization of Chern-Simons theories on a Riemann surface  $\Sigma$  with boundary, where the connection between Chern-Simons theories and conformal field theories by means of the construction of the Hilbert space of oriented three-dimensional surfaces was made in spirit to the one in string theory. The Hilbert space is defined as wave functionals via a functional integral over the symmetry of the theory these states. The Hilbert space is obtained for such a Hilbert space is obtained for a given gauge group. The resulting formulation provides a new way of looking at the Hilbert space. For the case in which no Wilson lines are present, the Hilbert space of states can be identified with the Hilbert space of a conformal field theory, *i.e.*, their elements satisfy the same properties under modular transformations. This one-to-one correspondence with the elements of the Hilbert space like the Verlinde operators [6] of the conformal field theory. In this letter we will give a brief report of our work. The details of our work will be presented in a future paper.

2. *Operator formalism: Abelian case.* Let us consider an oriented compact three-dimensional surface without boundary  $M$  and an  $U(1)$  bundle  $E$  (which may well be trivial) endowed with a connection  $A_\mu$  (which can be viewed as a one-form). The Feynman path integral of the corresponding Chern-Simons theory is defined as

$$Z(M)_k = \int [DA_\mu] e^{ikS(A_\mu)}, \quad (1)$$

with

$$S(A_\mu) = \frac{1}{2\pi} \int_M A \wedge dA, \quad (2)$$

where  $[DA_\mu]$  represents Feynman's path integral over gauge orbits and  $k$  is an arbitrary integer. The reason why we must integrate over gauge orbits, *i.e.*, under all equivalent classes of connections modulo gauge transformations, is that when  $k$  is integer the argument of the functional integral in (1) is invariant under arbitrary gauge transformations  $A_\mu \rightarrow A_\mu + g^{-1}\partial_\mu g$  where  $g$  is an arbitrary continuous map  $g : M \rightarrow U(1)$  [8]. This gauge invariance plays a fundamental role in our construction.

All three-dimensional manifolds of the type considered here admit a Heegaard splitting [9]. This means that we may cut  $M$  along a Riemann surface  $\Sigma$  of genus  $g$  in such a way that  $M = M_1 \cup M_2$  being  $M_1$  and  $M_2$  homeomorphic to a solid ball with  $g$  handles. The joining of  $M_1$  and  $M_2$  to build  $M$  is carried out by identifying their surfaces  $\partial M_1$  and  $\partial M_2$  via an homeomorphism. Of course, a given  $M$  admits many different splittings. To fix ideas let us consider for example  $g = 1$ . Let  $M_1$  and  $M_2$  be two identical solid tori with modular parameter  $\tau$ . If they are joined by identifying the points of their surfaces the manifold  $S^2 \times S^1$  is constructed. However, if before the identification a modular transformation which transforms  $\tau \rightarrow -1/\tau$  is made, the resulting manifold is  $S^3$ . The manifold  $S^3$  admits also a  $g = 0$  Heegaard splitting just cutting it along  $S^2$ .

The operator formalism which we are about to construct will consist of states defined as functional integrals over configurations of gauge fields on either  $M_1$  or

$M_2$  after cutting  $M$  via a Heegaard splitting which are the arguments of the correlators. These must correspond to field configurations on  $M$ .  $Z(M)_k$  must be evaluated by a suitable choice. A natural way of making this choice is to use the formalism of the canonical quantization of the Chern-Simons theory [3]. We define:

$$\Psi(A_{\bar{z}}) = \int [DA_\mu]_{M_1} \exp(iS(A_\mu))$$

where  $[DA_\mu]_{M_1}$  represents the Feynman path integral over gauge orbits such that  $A_{\bar{z}}$  is fixed at  $\partial M_1$ , and  $A_0 = \frac{1}{2}(A_1 + iA_2)$ ,  $A_0$  being in the direction of the real local coordinates on the Riemann surface  $\partial M_1$  and choosing local coordinates on  $\partial M_1$ . If the theory is topological, one would expect that the result is independent of this choice. However, the presence of a conformal anomaly. Let us discuss this in more detail. In a general analysis in [1] the state defined by the functional integral on a bundle on moduli space. This vector is only projectively flat because of the dependence on the scale of the metric in (3) corresponding to the definition (3) we have added to the definition (3). This is conformal invariant and so we do not have a conformal anomaly point. However, the dependence on the metric in (3) is not conformal invariant and it will appear in the functional integral and it will appear in the definition (3).

Similarly, we define the state corresponding to  $M_2$ :

$$\Phi(A_z) = \int [DA_\mu]_{M_2} \exp(iS(A_\mu))$$

so we may write  $Z(M)_k$  as

$$Z(M)_k = \int [DA_z DA_{\bar{z}}] \exp\left(\frac{2k}{\pi} \int_{\Sigma} d^2\sigma A_z A_{\bar{z}}\right) \Phi(A_z) \Psi(A_{\bar{z}}), \quad (5)$$

where  $\Sigma = \partial M_1$ . Let us compare this expression with the one resulting from a canonical quantization in an axial-time gauge in the holomorphic representation [3]. On one hand the exponential factors are the same. On the other hand, in the situation where a Heegaard splitting is such that  $\partial M_1$  and  $\partial M_2$  are identified without previously making any homeomorphism,  $\Phi(A_z) = \overline{\Psi(A_{\bar{z}})}$ , as follows from (3) and (4). We have defined (3) and (4) based on our intuition from the canonical quantization of the theory. Alternatively, we could think that (3) and (4) are defined in that way because then they are rather simple to compute, and then from (5) we could read the quantization relations of the theory. For a linear theory, such as the Abelian case we are treating in this section, there is no advantage in taking one point of view or the other. However, for the non-Abelian case nonlinearities play a fundamental role and the second point of view is much more fruitful. As we will discuss in the next section a suitable definition of the states allows us to determine the Hilbert space and this in turn permits to read the “full” commutation relations from an expression similar to (5).

Our next task is to determine  $\Psi(A_{\bar{z}})$  in (3) exploiting the symmetry present in the theory. It is rather straightforward to verify that the functional integral in (3) has its extremal at field configurations such that  $F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu} = 0$  on  $M_1$ , and that under a gauge transformation  $A_{\mu} \rightarrow A_{\mu} + g^{-1}\partial_{\mu}g$ , where  $g$  is a continuous mapping  $g : M_1 \rightarrow U(1)$ , it transforms as

$$\Psi(A_{\bar{z}}) \rightarrow e^{-2k(\gamma(g) + \frac{1}{\pi} \int_{\partial M_1} d^2\sigma A_{\bar{z}} \partial_z g g^{-1})} \Psi(A_{\bar{z}}), \quad (6)$$

where

$$\gamma(g) = \frac{1}{2\pi} \int_{\partial M_1} d^2\sigma g^{-1} \partial_z g g^{-1} \partial_{\bar{z}} g. \quad (7)$$

The first consequence of (6) is that the state defined by (3) satisfies the Gauss

law  $F_{z\bar{z}}\Psi(A_{\bar{z}}) = 0$ . This is easily proved using (6) and the commutation relations stated in (5).

The mappings  $g$  on  $M_1$  are classified according to whether they wrap around non-contractible closed cycles on  $\partial M_1$  which generate the fundamental group and let us assume that our solid ball  $B^3$  is such that all cycles are contractible. Dual to these cycles are 2-cycles defined on  $\partial M_1$  which allow us to define the holonomy with the help of the period matrix  $\Omega$ . The holonomy differentials satisfy  $\int_{\alpha_i} \omega_j = \delta_{ij}$  and  $\int_{\beta_i} \omega_j = \text{Im}\Omega_{ij}$ .

Considering the analysis in [10] we can parametrize the gauge fields:  $A_{\bar{z}} = (u_a u)^{-1} \partial_{\bar{z}} u$  where  $u$  is a map to the identity map  $u : \partial M_1 \rightarrow U(1)$ . We can write  $u_a = \exp(\pi \int^{\bar{z}} \overline{\omega(z)} (\text{Im}\Omega)^{-1} a - \pi \bar{a} (\text{Im}\Omega))$  valued on  $\partial M_1$  and that our parameter  $a$  is a holonomy phase around  $\alpha_i$  and  $\beta_i$  cycles. In this parametrization gauge transformation (6) has the form

$$\Psi(A_{\bar{z}}) \rightarrow e^{-\gamma_{2k}(u_a g)} \Psi(A_{\bar{z}})$$

where  $e^{-\gamma_{2k}(u_a)}$  is defined as a functional of  $u_a$  which satisfies

$$e^{-\gamma_{2k}(u_a g)} = e^{-\gamma_{2k}(u_a) - \gamma_{2k}(g)}$$

being  $\langle u_a, g \rangle = \frac{1}{\pi} \int d^2\sigma u_a^{-1} \partial_{\bar{z}} u_a g$  a map connected to the identity  $\langle u_a, u \rangle = 0$ . (8) factorizes in  $u_a$  and  $u$ . In this case  $e^{-\gamma_{2k}(u_a)}$ . However, if  $g$  is a map which

$\alpha_j$ , *i.e.*,  $g = \exp(-\pi(n+m\bar{\Omega})(\text{Im}\Omega)^{-1} \int^z \omega(z) + \pi \int^{\bar{z}} \overline{\omega(z)}(\text{Im}\Omega)^{-1}(n+\Omega m))$  we obtain the condition:

$$e^{-\gamma_{2k}(u_{a+n+\Omega m})} = e^{-\gamma_{2k}(u_a)} e^{\pi k(2(n+m\bar{\Omega})(\text{Im}\Omega)^{-1}a + (n+m\bar{\Omega})(\text{Im}\Omega)^{-1}(n+\Omega m))}, \quad n, m \in Z^g. \quad (10)$$

The most general entire function of  $a$  which verifies (10) is a linear combination of

$$\psi_p(a) = \xi e^{\pi k a (\text{Im}\Omega)^{-1} a} \vartheta \begin{bmatrix} \frac{p}{2k} \\ 0 \end{bmatrix} (2ka | 2k\Omega), \quad p \in (Z_{2k})^g, \quad (11)$$

where  $\vartheta$  is the Jacobi theta function with characteristics [11], and  $\xi$  is a constant (independent of  $a$ ).

Using symmetry arguments we have found  $(2k)^g$  functions in our search for the form of the functional integral (3). This non-uniqueness is expected. The non-trivial gauge invariant operators of the theory are Wilson lines around non-contractible cycles. If we have had a Wilson line inserted in the solid ball with  $g$  handles in the definition (3) all our arguments based on the symmetries of the theory would be still valid. Therefore, one does not expect to find a unique state but the full set of states of the Hilbert space. To find a basis we must study the orthogonality relations of the states we have obtained. Let us define

$$\Psi_p(A_{\bar{z}}) = e^{-2k\gamma(u)} \psi_p(a), \quad (12)$$

and let us consider the case in which  $M = S^2 \times S^1$  and  $M_1$  is the solid torus. From (5) we have the inner product

$$(\Psi_q, \Psi_p) = \int [DA_z DA_{\bar{z}}] e^{\frac{2k}{\pi} \int d^2\sigma A_z A_{\bar{z}}} \overline{\Psi_q(A_{\bar{z}})} \Psi_p(A_{\bar{z}}). \quad (13)$$

The measure in (13) is defined by  $||\delta A|| = \int d^2\sigma \delta A_z \delta A_{\bar{z}}$ . In computing this inner product one finds determinants of operators which must be regulated. Here is where the conformal anomaly enters in our formulation. Different choices of the

scale of the metric lead to different to lead to the simplest formulation  $\int_{\partial M_1} d^2\sigma \sqrt{g} = 2\text{Im}\tau$ , where  $\tau$  denotes the torus. One finds from (13), (11) and

$$(\Psi_q, \Psi_p)$$

where  $\eta(\tau)$  is the Dedekind  $\eta$  function orthogonal and so they constitute a basis made orthonormal by defining  $\xi = \eta(\tau)$  [1] to show that it is in  $S^2 \times S^1$  where

From (11), (12) and (14) we have the Hilbert space of the theory consists of

$$\Psi_p(A_{\bar{z}}) = e^{-2k\gamma(u)} e^{\pi k a (\text{Im}\tau)}$$

These functionals transform as the scalar under the modular group of the torus. Using standard properties of the Dedekind  $\eta$  function one finds that the modular group  $S : a \rightarrow a/\tau, \tau \rightarrow -1/\tau$

$$\Psi_p|_S = \frac{1}{\sqrt{|\tau|}}$$

$$\Psi_p|_T = e^{2\pi i k a \tau}$$

From the form of the  $T$  transformation  $h_p = \frac{p^2}{4k}$  and the central charge  $c = 1$

As we mentioned above, the states correspond to Feynman's path integral where Wilson lines have been inserted. Now we will compute

and unlinked Wilson line of charge  $n$ ,  $\phi_n = \exp(-n \int_\beta A)$ . Since it is unknotted and unlinked we may translate it to the surface of the solid torus by a continuous deformation. As the system has zero Hamiltonian, this operation leaves invariant the value of the path integral. Once on the surface, using the holomorphic representation dictated by (5), *i.e.*,  $\bar{a} = \frac{\text{Im}\tau}{2k\pi} \frac{\partial}{\partial a}$ , the Wilson line can be written in operator form and we have

$$\phi_n \Psi_p = \exp(-n \int_\beta A) \Psi_p = e^{-\frac{\pi n^2}{4k} \bar{\tau} (\text{Im}\tau)^{-1} \tau - \pi n \bar{\tau} (\text{Im}\tau)^{-1} a} e^{\frac{n\tau}{2k} \frac{\partial}{\partial a}} \Psi_p = \Psi_{p+n}. \quad (17)$$

In view of this result the most natural interpretation is to associate the state  $\Psi_0$  to the case in which there are no Wilson lines inside the solid torus and the state  $\Psi_p$  to the case in which there is an unknotted Wilson line of charge  $p$ . We observe that there are only  $k$  distinguished charges. The states (15) are in one-to-one correspondence with the characters of a rational Gaussian model [12] and share their same properties under modular transformations. The operators  $\phi_p$  may be identified with the primary fields of those models. Certainly, if one considers two unknotted unlinked Wilson lines with charges  $p$  and  $q$ , the effect of acting on the states (15) is commutative and one has the same effect as if one considers only a Wilson line with charge  $p+q$ . In other words, they satisfy the fusion rule  $\phi_p \times \phi_q = \phi_{p+q}$ .

Once the states (15) are constructed and the unitary representation of the modular group (16) is worked out it is rather simple to compute the partition function (5). As an example let us calculate  $Z(S^3)_k$ . Since  $S^3$  has a Heegaard splitting which involves a homeomorphism corresponding to an  $S$  modular transformation, from (14), (15) and (16) one finds  $Z(S^3)_k = 1/\sqrt{2k}$ .

*3. Operator formalism: non-Abelian case.* We will consider a non-Abelian Chern-Simons theory for the concrete case of the group  $SU(2)$ . However, all our arguments can be generalized to an arbitrary Lie group. We will be dealing with

a Feynman path integral like the one

$$S(A_\mu) = \frac{1}{4\pi} \int_M \text{Tr} \left( \frac{1}{2} F_{\mu\nu} F^{\mu\nu} \right)$$

where ‘Tr’ denotes the trace in the Lie algebra. In the Abelian case  $\exp(ikS)$  is invariant under the gauge transformation  $g^{-1} \partial_\mu g$  where  $g$  is an arbitrary continuous function.

Let us consider first the case in which the manifold  $M$  is a torus with a splitting such that  $M_1$  and  $M_2$  are two regions with a common boundary. Similarly to the Abelian case we have

$$\Psi(A_{\bar{z}}) = \int [DA_\mu]_{M_1} \exp(iS(A_\mu))$$

and accordingly (see (4)) for the state  $\Psi(A_z)$  considered,  $\Phi(A_z) = \overline{\Psi(A_{\bar{z}})}$ . In the non-Abelian case one subtlety related to the conformal invariance appears until the computation of the norm of the state. In fact, an additional subtlety which is fundamental in the path integral (19) we must perform is the gauge fixing in which the radial component of  $A_\mu$  is fixed. This then reduces to  $A_\mu$  configurations on the boundary. There is still a residual gauge invariance in the boundary. Let us parametrize these components as  $u$  and  $\bar{u}$  on the boundary. We choose a measure  $c_\nu$  and by continuity we must have the

$$[DA_z DA_{\bar{z}}] = e^{\int c_\nu u \bar{u}}$$

where  $A_{\bar{z}} = u^{-1} \partial_{\bar{z}} u$  and  $A_z = \bar{u}^{-1} \partial_z \bar{u}$  ( $u^{-1} = \bar{u}^\dagger$ ),  $c_\nu$  is the quadratic Casimir