

# Lecture 9: RR-sector and D-branes

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STRING THEORY

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## RR fields couple to extended objects

Recall that the antisymmetric tensor  $B_{\mu\nu}$  in the NS-NS sector couples directly to the string world-sheet: the string carries (electric) charge w.r.t.  $B_{\mu\nu}$ ,

$$S = -\frac{1}{4\pi\alpha'} \int d^2\xi \epsilon^{\alpha\beta} B_{\mu\nu}(X) \partial_\alpha X^\mu \partial_\beta X^\nu .$$

The Lagrangian changes by a total derivative under the gauge symmetry,

$$B_{\mu\nu} \rightarrow B_{\mu\nu} + \partial_\mu \Lambda_\nu - \partial_\nu \Lambda_\mu$$

In electromagnetism, the gauge invariant degrees of freedom are contained in the field strength,  $F = dA$ . Similarly,  $H = dB$ ,

$$H_{\mu\nu\rho} = \partial_\mu B_{\nu\rho} + \partial_\nu B_{\rho\mu} + \partial_\rho B_{\mu\nu}$$

However, the situation for the R-R potentials  $C^{(n)}$  is very different, because the vertex operators for the R-R states involve only the  $F^{(n+1)}$ .

Thus, only the field strengths, not the potentials, would couple to the string.

Thus elementary, perturbative string states cannot carry any charge with respect to the R-R gauge fields  $C^{(n)}$ .

## RR fields couple to extended objects

We are thereby forced to search for **non-perturbative degrees of freedom** which couple to these potentials.

Clearly, they must be **extended objects** that sweep out a  $p + 1$ -dimensional *world-volume* as they propagate in time, generalizing the notion of a string,

$$q \int_{\mathcal{W}_n} d^n \xi \epsilon^{a_0 \dots a_{n-1}} \frac{\partial x^{\mu_1}}{\partial \xi^{a_0}} \dots \frac{\partial x^{\mu_n}}{\partial \xi^{a_{n-1}}} C_{\mu_1 \dots \mu_n}^{(n)} \longrightarrow q \int_{\mathcal{W}_n} C^{(n)} .$$

in complete analogy with electromagnetic and  $B$ -field minimal couplings.

The massless states of the **R-R** sectors are given by the CG decompositions:

- **Type IIA:**  $\mathbf{16}_s \otimes \mathbf{16}_c = [0] \oplus [2] \oplus [4].$
- **Type IIB:**  $\mathbf{16}_s \otimes \mathbf{16}_s = [1] \oplus [3] \oplus [5]_+.$

They corresponds to completely antisymmetric tensors of rank  $n$ , or  $n$ -forms. The  $+$  subscript indicates a self-duality condition.

## Electric and magnetic coupling of extended objects

Consider  $F^{(p+2)}$ , a  $p+2$ -form representing an antisymmetric tensor field with  $p+2$  indices in  $D$  dimensions.

It is the field strength of a potential,  $F^{(p+2)} = dC^{(p+1)}$ , that *electrically* couples to a  $p+1$ -dimensional object,  $\mathcal{W}_{p+1}$ ,

$$\mu_p \int_{\mathcal{W}_{p+1}} C^{(p+1)},$$

$\mathcal{W}_{p+1}$  being the *world-volume* of an extended object called  $p$ -brane.

The Hodge dual of  $F^{(p+2)}$  is

$$\tilde{F}^{(D-p-2)} = \star F^{(p+2)} = d\tilde{C}^{(D-p-3)}.$$

Its potential couples *magnetically* to the extended object  $\mathcal{W}_{D-p-3}$ ,

$$\mu_{D-p-4} \int_{\mathcal{W}_{D-p-3}} \tilde{C}^{(D-p-3)}.$$

## Extended objects in type II superstring theory

In superstring theory,  $D = 10$  thus we have two possible couplings

$$F^{(n+2)} \text{ couples to } \begin{cases} \text{electric} & n \text{ branes} , \\ \text{magnetic} & 6 - n \text{ branes} . \end{cases}$$

Recall that the  $F^{(n)}$  forms resulted from the tensor product of two MW spinor representations in ten dimensions,

$$F_{\mu_1 \dots \mu_n}^{(n)} = \mathbb{R} \langle \psi_{+(\pm)}^{(0)} | \Gamma_{[\mu_1 \dots \mu_n]} | \psi_{-(\pm)}^{(0)} \rangle_{\mathbb{R}} .$$

Because of GSO projection, the states  $|\psi_{-(\pm)}^{(0)}\rangle_{\mathbb{R}}$  have definite  $\Gamma^{11}$  eigenvalue  $\pm 1$ . Thus, given that

$$\Gamma^{11} \Gamma^{[\mu_1} \dots \Gamma^{\mu_n]} = \frac{(-1)^{\lfloor \frac{n}{2} \rfloor} n!}{(10-n)!^2} \epsilon^{\mu_1 \dots \mu_n \nu_1 \dots \nu_{10-n}} \Gamma^{[\nu_1} \dots \Gamma^{\nu_{10-n}]} ,$$

there is an isomorphism (*electric-magnetic duality*)

$$F_{\mu_1 \dots \mu_n}^{(n)} \sim \epsilon^{\mu_1 \dots \mu_n \nu_1 \dots \nu_{10-n}} F_{\nu_1 \dots \nu_{10-n}}^{(10-n)} .$$

This identifies the representations  $[n] \leftrightarrow [10-n]$ ; in particular,  $[5]$  is self-dual.

## Branes in type IIA theory

Recall that, in **type IIA**:  $\mathbf{16}_s \otimes \mathbf{16}_c = [0] \oplus [2] \oplus [4]$ . Thus, there are **even** branes.

- In the **NS-NS** sector:

$$H_3 \text{ couples to } \begin{cases} \text{electric } 1 \text{ branes} & \Rightarrow \text{F1-string} \\ \text{magnetic } 5 \text{ branes} & \Rightarrow \text{NS5-brane} \end{cases}$$

- In the **R-R** sector:

$$F_{[2]} \text{ couples to } \begin{cases} \text{electric } 0 \text{ branes} & \Rightarrow \text{D0-brane} \\ \text{magnetic } 6 \text{ branes} & \Rightarrow \text{D6-brane} \end{cases}$$

$$F_{[4]} \text{ couples to } \begin{cases} \text{electric } 2 \text{ branes} & \Rightarrow \text{D2-brane} \\ \text{magnetic } 4 \text{ branes} & \Rightarrow \text{D4-brane} \end{cases}$$

## Branes in type IIB theory

In type IIB:  $\mathbf{16}_s \otimes \mathbf{16}_s = [1] \oplus [3] \oplus [5]_+$ . Thus, there are **odd** branes.

- In the **NS-NS** sector:

$$H_3 \text{ couples to } \begin{cases} \text{electric } 1 \text{ branes} & \Rightarrow \text{F1-string} \\ \text{magnetic } 5 \text{ branes} & \Rightarrow \text{NS5-brane} \end{cases}$$

- In the **R-R** sector:

$$F_{[1]} \text{ couples to } \begin{cases} \text{electric } -1 \text{ branes} & \Rightarrow \text{D(-1)-brane} \\ \text{magnetic } 7 \text{ branes} & \Rightarrow \text{D7-brane} \end{cases}$$

$$F_{[3]} \text{ couples to } \begin{cases} \text{electric } 1 \text{ branes} & \Rightarrow \text{D1-brane} \\ \text{magnetic } 5 \text{ branes} & \Rightarrow \text{D5-brane} \end{cases}$$

$$F_{[5]} \text{ couples to } \begin{cases} \text{electric } 3 \text{ branes} & \Rightarrow \text{D3-brane} \\ \text{magnetic } 3 \text{ branes} & \Rightarrow \text{D3-brane} \end{cases}$$

Since the  $F_{[5]}$  is self-dual, full electromagnetic duality is in place.

## Electric-magnetic duality

Let us recall how the story goes in Maxwell theory. In the absence of charges and currents,

$$dF = 0 \quad \text{and} \quad d^*F = 0 ,$$

where  $F$  is the 2-form field strength describing electric and magnetic fields.

The equations are symmetric under the interchange of  $F$  and  $*F$ . Assuming that sources can be added in a symmetric fashion,

$$dF = *J_m \quad \text{and} \quad d^*F = *J_e ,$$

we face **Dirac's quantization condition**: the wave function of an electrically charged particle moving in the field of a monopole is uniquely defined if

$$e \cdot g \in 2\pi \mathbb{Z} .$$

We have seen that our branes, **D-branes**, can both couple electrically or magnetically. Their charges are measured using Gauss' law.

The Dirac quantization condition has a straightforward generalization,

$$\mu_p \cdot \mu_{6-p} \in 2\pi \mathbb{Z} .$$



## Type II low energy effective actions

Similarly to the bosonic case, the **vanishing of the Weyl anomaly** demands,

$$\beta_{\mu\nu}(g) = \beta_{\mu\nu}(B) = \beta_{\mu\nu}(\Phi) = 0 ,$$

where these equations are covariant complicated expressions of the massless fields.

In type II superstrings we have, in addition, we should include the RR-forms in a way compatible with supersymmetry.

Thus, **these equations coincide with those arising in ten dimensional theories of supergravity.**

The number of supersymmetries is **32**. Whereas **type IIB** theory is chiral, **type IIA** is not. We will present their Lagrangians next.

Higher order  $\alpha'$  corrections would lead to higher powers of the curvature, as in the bosonic string.

## Type IIA effective action

The Lagrangian of **type IIA supergravity** in the **string frame** reads

$$S_{IIA} = \frac{1}{2\kappa^2} \int e^{-2\Phi} \left( d^{10}x \sqrt{-g} R + 4 d\Phi \wedge \star d\Phi - \frac{1}{2} \frac{1}{3!} H_{[3]} \wedge \star H_{[3]} \right. \\ \left. - \frac{1}{2} \left[ \frac{1}{2!} F_{[2]} \wedge \star F_{[2]} + \frac{1}{4!} F_{[4]} \wedge \star F_{[4]} + B_{[2]} \wedge F_{[4]} \wedge F_{[4]} \right] \right),$$

where

$$F_{[2]} = dC_{[1]} \quad F_{[4]} = dC_{[3]} - C_{[1]} \wedge H_{[3]} \quad H_{[3]} = dB_{[2]}$$

We can go to the Einstein frame by

$$(g_{\mu\nu})_{\text{string}} = g_s^{-1/2} e^{\Phi/2} (g_{\mu\nu})_{\text{Einstein}}$$

where  $g_s = e^{\Phi(r \rightarrow \infty)}$  is the string coupling constant. Then,

$$\sqrt{|g|}_{\text{string}} = (g_s^{-1/2} e^{\Phi/2})^5 \sqrt{|g|}_{\text{Einstein}} = g_s^{-5/2} e^{5\Phi/2} \sqrt{|g|}_{\text{Einstein}}.$$

## Type IIA effective action

In general, for any  $p$ -form

$$(F_{[p]} \wedge \star F_{[p]})_{\text{string}} = g_s^{p/2} e^{-p\Phi/2} (F_{[p]} \wedge \star F_{[p]})_{\text{Einstein}} .$$

The resulting action in the Einstein frame reads:

$$S_{IIA}^E = \frac{1}{2\kappa^2} \int \left( d^{10}x \sqrt{-g_E} R_E - \frac{1}{2} d\Phi \wedge \star d\Phi - \frac{1}{2} \frac{1}{3!} e^{-\Phi} H_{[3]} \wedge \star H_{[3]} \right. \\ \left. - \frac{1}{2} \left[ \frac{1}{2!} e^{\frac{3}{2}\Phi} F_{[2]} \wedge \star F_{[2]} + \frac{1}{4!} e^{\frac{1}{2}\Phi} F_{[4]} \wedge \star F_{[4]} + B_{[2]} \wedge F_{[4]} \wedge F_{[4]} \right] \right) .$$

Gravity is now canonically normalized, as well as the dilaton kinetic term, but the coupling with the R-R forms is more involved.

Notice that to compute the solution corresponding to a specific D-brane,

$$S = \frac{1}{2\kappa^2} \int d^{10}x \sqrt{-g} \left\{ R - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} e^{a_n \phi} F_n^2 \right\} .$$

## Type IIB effective action

The Lagrangian of type IIB supergravity in the string frame reads

$$S_{IIB} = \frac{1}{2\kappa^2} \int e^{-2\Phi} \left( d^{10}x \sqrt{-g} R + 4 d\Phi \wedge \star d\Phi - \frac{1}{23!} H_{[3]} \wedge \star H_{[3]} \right) \\ - \frac{1}{4\kappa^2} \int \left( F_{[1]} \wedge \star F_{[1]} + \frac{1}{3!} F_{[3]} \wedge \star F_{[3]} + \frac{1}{2} \frac{1}{5!} F_{[5]} \wedge \star F_{[5]} \right. \\ \left. - C_{[4]} \wedge F_{[3]} \wedge H_{[3]} \right)$$

where

$$F_{[1]} = dC_{[0]} \quad F_{[3]} = dC_{[2]} - C_{[0]} H_{[3]} \\ H_{[3]} = dB_{[2]} \quad F_{[5]} = dC_{[4]} - \frac{1}{2} C_{[2]} \wedge H_{[3]} + \frac{1}{2} B_{[2]} \wedge F_{[3]}$$

supplemented by the additional on-shell constraint  $F_{[5]} = \star F_{[5]}$ .

## Type IIB effective action

It can also be driven to its Einstein frame form,

$$S_{IIB}^E = \frac{1}{2\kappa^2} \int \left( d^{10}x \sqrt{-g_E} R_E - \frac{1}{2} d\Phi \wedge \star d\Phi - \frac{1}{23!} e^{-\Phi} H_{[3]} \wedge \star H_{[3]} \right. \\ \left. - \frac{1}{2} \left[ e^{2\Phi} F_{[1]} \wedge \star F_{[1]} + \frac{1}{3!} e^{\Phi} F_{[3]} \wedge \star F_{[3]} + \frac{1}{2} \frac{1}{5!} F_{[5]} \wedge \star F_{[5]} \right] \right. \\ \left. - C_{[4]} \wedge F_{[3]} \wedge H_{[3]} \right).$$

Again, to compute the solution corresponding to a specific D-brane,

$$S = \frac{1}{2\kappa^2} \int d^{10}x \sqrt{-g} \left\{ R - \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} e^{a_n \phi} F_n^2 \right\}.$$

We have  $a_3 = -1$  for the NS-NS 3-form,  $H_{[3]}$ , and  $a_n = \frac{5-n}{2}$  for any RR  $n$ -form,  $F_{[n]}$ . Notice that for  $n = 5$ , i.e., the self-dual D3-brane, the dilaton decouples.

Even the remaining string theories fit into this quite simple action.

## D-branes as classical solutions

The equations of motion are:

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \frac{1}{2} \left( \partial_\mu\phi \partial_\nu\phi - \frac{1}{2}g_{\mu\nu}\partial_\lambda\phi \partial^\lambda\phi \right) + \frac{1}{2(n-1)!} e^{a_n\phi} \tau_{\mu\nu} ,$$

$$\frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} g^{\mu\nu} \partial_\nu\phi) - \frac{1}{2} \frac{a_n}{n!} e^{a_n\phi} F_n^2 = 0 ,$$

$$\frac{1}{(n-1)!} \frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} e^{a_n\phi} F^{\mu\nu_2\dots\nu_n}) = 0 ,$$

where the electromagnetic stress-energy tensor reads

$$\tau_{\mu\nu} = F_{\mu\lambda_2\dots\lambda_{n_l}} F_{\nu}{}^{\lambda_2\dots\lambda_{n_l}} - \frac{1}{2n} g_{\mu\nu} F_n^2 .$$

The most general metric that incorporates all the **symmetries** is:

$$ds^2 = -B^2 dt^2 + C^2 \delta_{ij} dy^i dy^j + F^2 dr^2 + G^2 r^2 d\Omega_{d-1}^2 .$$

with all the functions depending only on  $r$ .

## D-branes as classical solutions

There is an extremal solution

$$ds^2 = H^{-\frac{2(7-p)}{\Delta}} (-dt^2 + \delta_{ij} dy^i dy^j) + H^{\frac{2(p+1)}{\Delta}} (dr^2 + r^2 d\Omega_{d-1}^2) .$$

with  $\Delta = (p+1)(7-p) + 4 a_n^2$  and

$$H = 1 + \frac{\sqrt{\Delta}}{4(7-p)} \frac{Q}{r^{7-p}} .$$

Now, electric solutions are those with  $p = n - 2$  whereas magnetic solutions have  $p = 8 - n$ . The dilaton reads

$$e^\phi = H^{\frac{8 a_{p+2}}{\Delta}} \quad \text{or} \quad e^\phi = H^{-\frac{8 a_{8-p}}{\Delta}} ,$$

whereas the **R-R** form

$$F_{ty^1 \dots y^p r} = \frac{d}{dr} H^{-1} \quad \text{or} \quad F_{\theta_1 \dots \theta_{8-p}} = Q \omega_{8-p} ,$$

the latter being proportional to the volume element of  $S^{8-p}$ .

## The D3-brane

The solutions look simpler in the string frame,

$$ds^2 = H^{-\frac{1}{2}} (-dt^2 + \delta_{ij} dy^i dy^j) + H^{\frac{1}{2}} (dr^2 + r^2 d\Omega_5^2) .$$

for any  $p$ . The mass of these solutions can be computed

$$M = |q| \qquad q = \frac{L^p \Omega^{8-p}}{2\kappa^2} Q ;$$

they all saturate the BPS bound.

As mentioned earlier, the case  $n = 5$  (that is,  $p = 3$ ) is special. If we plug it into previous expressions,  $a_5 = 0$ ,  $\Delta = 16$ , and

$$ds^2 = \left(1 + \frac{1}{4} \frac{Q}{r^4}\right)^{-\frac{1}{2}} (-dt^2 + \delta_{ij} dy^i dy^j) + \left(1 + \frac{1}{4} \frac{Q}{r^4}\right)^{\frac{1}{2}} (dr^2 + r^2 d\Omega_5^2) .$$

If we focus in the region close to the throat,  $r \rightarrow 0$ , the metric behaves as

$$ds^2 \sim r^2 (-dt^2 + \delta_{ij} dy^i dy^j) + r^{-2} (dr^2 + r^2 d\Omega_5^2) .$$

This is  $\text{AdS}_5 \times S^5$  with equal radii of curvature.



## D-branes and boundary contributions

Let us come back to the gauge symmetry of (we set  $2\pi\alpha' = 1$ )

$$S = -\frac{1}{2} \int d^2\xi \epsilon^{\alpha\beta} B_{\mu\nu}(X) \partial_\alpha X^\mu \partial_\beta X^\nu .$$

If we perform the gauge symmetry transformation,

$$\delta B_{\mu\nu} = \partial_\mu \Lambda_\nu - \partial_\nu \Lambda_\mu$$

then the action transforms as

$$\begin{aligned} \delta S &= - \int d^2\xi \epsilon^{\alpha\beta} \partial_\mu \Lambda_\nu \partial_\alpha X^\mu \partial_\beta X^\nu = - \int d^2\xi \epsilon^{\alpha\beta} \partial_\alpha \Lambda_\nu \partial_\beta X^\nu . \\ &= - \int d\tau d\sigma (\partial_\tau \Lambda_\nu \partial_\sigma X^\nu - \partial_\sigma \Lambda_\nu \partial_\tau X^\nu) \\ &= - \int d\tau d\sigma (\partial_\tau [\Lambda_\nu \partial_\sigma X^\nu] - \partial_\sigma [\Lambda_\nu \partial_\tau X^\nu]) \end{aligned}$$

the total derivative  $\partial_\tau$  gives no boundary contribution but  $\partial_\sigma$  does!

## D-branes and boundary contributions

From the point of view of the open strings, the D-branes are hypersurfaces where their end-points can lie,

$$\delta S = \int d\tau d\sigma (\partial_\sigma [\Lambda_\nu \partial_\tau X^\nu]) = \int d\tau [\Lambda_\nu \partial_\tau X^\nu] \Big|_{\sigma=0}^{\sigma=\pi}$$

Now, we have to distinguish between  $X^\mu = (X^m, X^a)$ , where  $m = 0, 1, \dots, p$ ,

$$\delta S = \int d\tau [\Lambda_m \partial_\tau X^m + \Lambda_a \partial_\tau X^a] \Big|_{\sigma=0}^{\sigma=\pi} = \int d\tau [\Lambda_m \partial_\tau X^m] \Big|_{\sigma=0}^{\sigma=\pi}$$

since  $\partial_\tau X^a = 0$  at both end-points.

Gauge invariance fails at the end-points of the string! To restore it, we must add a couple of terms that give electric charge to the string end-points,

$$S = -\frac{1}{2} \int d^2\xi \epsilon^{\alpha\beta} B_{\mu\nu}(X) \partial_\alpha X^\mu \partial_\beta X^\nu + \int d\tau A_m(X) \frac{dX^m}{d\tau} \Big|_{\sigma=0}^{\sigma=\pi}.$$

The string end-points are oppositely charged and  $F_{mn} \rightarrow \mathcal{F}_{mn} = F_{mn} + B_{mn}$ .